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JFW

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: **David Chazan, et al.**

Application No.: **09/884,429**

Filed: **June 18, 2001**

Entitled: **METHODS AND DEVICES FOR ENHANCING BONDED SUBSTRATE  
YIELDS AND REGULATING TEMPERATURE**

**Caliper Technologies Corp.**

, a

**corporation**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [ X ] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
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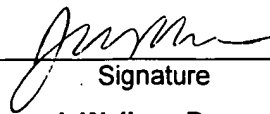
[ ] Additional documents in the chain of title are listed on a supplemental sheet

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

10/9/01

Date



Signature

**J. Wallace Parce**

Typed or printed name

**Vice President of Research**

Title

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JANUARY 03, 2002

LAW OFFICES OF JONATHAN ALAN QUINE  
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LAW OFFICES OF  
JONATHAN ALAN QUINE

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\*101885680A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 10/15/2001

REEL/FRAME: 012271/0597  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CHAZAN, DAVID

DOC DATE: 09/26/2001

ASSIGNOR:  
BOUSSE, LUC J.

DOC DATE: 09/28/2001

ASSIGNOR:  
BROOKS, CARLTON

DOC DATE: 09/25/2001

ASSIGNOR:  
LOUCH, DEREK

DOC DATE: 09/07/2001

ASSIGNOR:  
SPAID, MICHAEL

DOC DATE: 10/03/2001

ASSIGNEE:  
CALIPER TECHNOLOGIES CORP.  
605 FAIRCHILD DRIVE  
MOUNTAIN VIEW, CALIFORNIA  
94043-  
2234

012271/0597 PAGE 2

SERIAL NUMBER: 09884429  
PATENT NUMBER:

FILING DATE: 06/18/2001  
ISSUE DATE:

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

10-25-2001

Attorney Docket No. 01-052410US

FORM PTO-1595

(Rev. 6-93)

U.S. Department of Commerce

Patent and Trademark Office



101885680

To the Honorable Asst. Commissioner for Patents, please return the attached original documents or copy thereof

1. Name of conveying party(ies):

David Chazan  
Luc J. Bousse  
Carlton Brooks  
Derek Louch  
Michael Spaid

10/15/01

Additional name(s) of conveying parties attached? No

2. Name and address of receiving party(ies)

Name: Caliper Technologies Corp.

Internal Address:

Street Address:

605 Fairchild Drive  
Mountain View, CA 94043-2234

3. Nature of conveyance:

- X Assignment Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other:

Execution Date: September 7, 2001; September 25, 2001;  
September 26, 2001; September 28, 2001; October 3, 2001

Additional names and addresses attached? No

OCT 15 2001

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/884,429  
Additional numbers attached? No

B. Patent No(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

Law Offices of Jonathan Alan Quine  
P.O. Box 458  
Alameda, CA 94501  
(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

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X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

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*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Christopher C. Sappenfield  
Name of Person Signing

Signature

10-12-01  
Date

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Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5? X Yes ☐ No

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Box: Assignments  
Washington, D.C. 20231

Attorney Docket No.: 01-052410US  
Client Reference No.: 100/09100US

## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, David Chazan, of 4040 Manzana Lane, Palo Alto, CA 94306, Luc J. Bousse of 1050 Rilma Lane, Los Altos, CA 94022, Carlton Brooks of 842 Coleman Avenue, Apt. #10, Menlo Park, California 94025, Derek Louch, of #304, 626 15<sup>TH</sup> Avenue, S.W. Calgary, T2R 0R5, Alberta, Canada, Michael R. Spaid of 693 Arbutus Avenue, Sunnyvale, California 94086, are hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:   METHODS   AND   DEVICES   FOR  
                          ENHANCING BONDED SUBSTRATE  
                          YIELDS       AND       REGULATING  
                          TEMPERATURE

Filing Date:                               June 18, 2001

Application No.:                           09/884,429                               ; and


WHEREAS, Caliper Technologies Corp., a Delaware corporation, located at 605 Fairchild Drive, Mountain View, CA 94043-2234, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

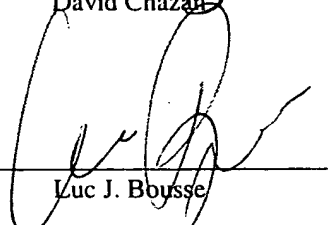
Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: Sept 26, 01

  
\_\_\_\_\_  
David Chazan


Dated: 9/28/01

  
\_\_\_\_\_  
Luc J. Bousse


Dated: 9/25/2001

  
\_\_\_\_\_  
Carlton Brooks

Dated: Sept 7<sup>th</sup>, 2001

  
\_\_\_\_\_  
Derek Louch

Dated: 10/3/01

  
\_\_\_\_\_  
Michael R. Spaid  
ms  
10/3/01